



# **Management and Expectations Survey**

00001 00000 ***TEST PRINT***	Please write any changes to your name and address in the box below, using black ink

To be completed for: THE BUSINESS NAMED ABOVE

# Please complete and return by 18 August 2017

Dear Sir or Madam.

Please find the questionnaire for the Management and Expectations Survey attached. Please complete for the period 1 January 2016 to 31 December 2016. The questionnaire focuses on two different themes. These relate to businesses':

- management practices such as the use of performance indicators, targets, employment decisions
- current performance and future expectations about turnover, investment, employment and spending on resources

The Office for National Statistics (ONS) is responsible for producing key economic statistics that are used to respond to, and manage the economy. Your response is of great value. This survey is voluntary, however the information provided will be used to better understand whether management practices and uncertainty relate to productivity. The information could benefit your business as the published statistics can be used as a benchmark to compare your business against the same, or across different sectors. To find out more, search 'Management Practices' at www.ons.gov.uk

Once complete, the questionnaire can be returned by post or fax using the details in the box below.

We request that you complete this questionnaire for the business named above, including for any parts of the business located at other addresses within Great Britain. All the information you provide is kept strictly confidential. It is illegal for us to reveal your data or identify your business to unauthorised persons.

Thank you for your co-operation, Office for National Statistics

### Questionnaire return details

To return via fax: 01633 652707

**To return via post:** Please use the prepaid envelope provided which is addressed to: Office for National Statistics, Government Buildings, Cardiff Road, Newport, NP10 8XG

### **Contact numbers**

Er mwyn gwneud cais am ffurflen Gymraeg (To request a questionnaire in Welsh) 0300 1234 921

If you would like to use our Minicom service for the Deaf 01633 815 044

For any other queries, please contact the **Management and Expectations Survey Team** 0300 1231 215

or go to www.ons.gov.uk/surveys

## When contacting the office you may be asked for the following information

**Survey code:** 092 **Reference number:** 4990 0006 892 **Period:** 201612

• Telephone calls may be recorded for training and quality purposes

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# **Introduction to the Management and Expectations Survey**

### Purpose of this survey

The purpose of this survey is to collect information on two different themes. These relate to businesses':

- management practices such as the use of performance indicators, targets, employment decisions
- current performance, and future expectations, about turnover, investment, employment and spending on resources

The information will be used to better understand whether management practices and uncertainty relate to productivity. The information could benefit your business as the published statistics can be used as a benchmark to compare your business against the same, or across different, sectors. To find out more, search 'Management Practices' at www.ons.gov.uk

### Coverage

This survey only collects information for **Great Britain (GB)** (England, Scotland and Wales) and <u>not</u> **Northern Ireland** (NI). Therefore, please:

- Include information for the business named on the front of the questionnaire, including all of the business's sites that are based within GB (England, Scotland and Wales)
- Exclude any activity from sites based in NI, the Channel Islands and Isle of Man

# **Information Required**

This questionnaire is divided into 8 Sections. Please complete all Sections. These ask for information about:

Section A - Business characteristics

Section B - Service delivery

Section C - Key performance indicators

**Section D** - Targets

Section E - Employment practices

Section F - Organisation

Section G - Current performance and future expectations (this Section will require financial information)

Section H - Feedback and contact details

## How to complete the questionnaire:

- Sections A to F should be completed for the calendar year 2016
- Section G should be completed for the calendar year 2016, however you may use your own financial year dates if
  this is not possible. Please use the reporting period that spans the majority of 2016. You can provide specific information
  on the reporting period in Section G
- The themes covered in this questionnaire means that you may need to collect information from others in the business in order to complete all Sections

## **Definitions**

For the purpose of this survey please use the following definitions:

- Manager: someone who manages people and has employees directly reporting to them. Include supervisors if they
  are responsible for managing staff and assessing their performance
- Non-manager: all other employees
- **Site**: for example, a workshop, factory, warehouse, office, mine, depot or construction site where one or more persons carry out economic activity for a minimum of 20 hours per week and the planned activity for your business is more than one year. Please only include information for sites in Great Britain

#### This questionnaire will be scanned, therefore please:

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• Ensure the X is placed in the centre of each box

• Ensure letters and numbers are PRINTED and centred within each box

Do not use commas

Do not cross sevens 7 or zeros 0

Please round your figures to the nearest £1,000

For example £16,805 = £  $\begin{bmatrix} 1 & 7 & 0 & 0 \end{bmatrix}$ 

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	Section A - Business characteristics			
1.	What was the number of managers at this business as of 5th April 2016?  A manager is someone who manages people and has employees directly reporting to them, with whom they meet regularly. Please include supervisors if they are responsible for managing staff and assessing their performance.	9		
	Non-managers are all other employees.			
	Number of managers at this business (estimates are acceptable)		1159	NFY
2.	In 2016, approximately what proportion of managers and non-managers at thi business had a University degree or equivalent?	is		
	Examples for qualifications for:			
	England, Wales and Ireland include: a degree or above, a graduate certificate / dipl a degree apprenticeship or NVQ level 6. It does not include level 5 qualifications or below such as HNDs or HNCs.			
	Scotland include: a degree or above, a graduate certificate / diploma, a professional apprenticeship or SVQ level 4. It does not include level 3 qualifications or below such as HNDs or HNCs.	al Please	one box for each column	
		Managers	Non-managers	
	a. None	X	X 1073	
	b. Fewer than 20%	X	X 1074	
	c. 20 to 49%	X	X 1075	
	d. 50 to 80%	X	X 1076	
	e. More than 80%	X	X 1077	
	f. All	X	X 1078	MFT
			_	
3.	In 2016, which of the following applied to the ownership structure for this business?	Pleas	e X <u>all</u> that apply	
	a. The founder owned it	1079	X	
	b. A relative of the founder owned it. For example a daughter, son, sister, brother, grandson, husband, wife	1080	Go to Question 4	
	c. A family not related to the founder owned it	1081	X	
	d. Not a family owned business	1082	Go to Question 5	MSB
4.	In 2016, did the Managing Director (or equivalent) own this business or did th Managing Director (or equivalent) have any form of family connection or relationship with the business owner(s) e.g. through family ties or marriage?		e X one box only	
	a. Yes		X 1083	
	b. No		1084	MRH

	Se	ction B: Service delivery			
5.		2016, which one of the following comes closest to the approach your busin nerally took when problems with your service provision arose?	ness		
	Exa	amples: Slow or late delivery of service, a piece of technology breaking down.			
			Pleas	e X one box only	
	a.	We resolved them but did not take further action		X	
	b.	We resolved them and took action to try to ensure they did not happen again		X	
	C.	We resolved them and took action to make sure that they did not happen again had a continuous improvement process to anticipate problems like these in adv		X	
	d.	No action was taken		X 1001	MRV
	Se	ction C - Key performance indicators			
6.		2016, how many key performance indicators were monitored within s business?			
	Ex	amples: Sales, cost, quality, customer satisfaction, timely service delivery, waste	e. Pleas	e X one box only	
	a.	1-2 key performance indicators		X	
	b.	3-9 key performance indicators		Go to Question 7	
	C.	10 or more key performance indicators		X	
	d.	No key performance indicators		Go to Question 8	MRV
7.		2016, how frequently was progress against the key performance indicators riewed by managers and non-managers within this business?	3		
		nanager is someone who manages people and has employees directly reporting	g		
	res	them, with whom they meet regularly. Please include supervisors if they are ponsible for managing staff and assessing their performance.	Please	<b>X</b> <u>all</u> that apply for each column	
	No	n-managers are all other employees.	Managers	Non-managers	
	a.	Annually	X	X 1009	
	b.	Quarterly	X	X 1010	
	c.	Monthly	X	X 1011	
	d.	Weekly	X	X 1012	
	e.	Daily	X	X 1013	
	f.	Hourly or more frequently	X	X 1014	
	g.	Never	. X	X 1015	MFL

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	Page 5	
	Section D - Targets	
8.	In 2016, which one of the following best describes the main time frames for achieving targets within this business?	
	A <b>target</b> is a goal or objective that has been set by a business to achieve and is often related to financial or sales performance.  Examples of targets are: rates of on-time delivery, value of sales.  Please X one box only	
	a. Main time frame was less than one year	
	b. Main time frame was one year or more	
	c. Combination of time frames of less than and more than a year	
	d. No targets	MRW
9.	In 2016, how easy or difficult was it for this business to achieve its targets?  Please X one box only	
	a. Possible to achieve without much effort	
	b. Possible to achieve with some effort	
	c. Possible to achieve with normal amount of effort	
	d. Possible to achieve with more than normal effort	
	e. Possible to achieve with extraordinary effort	MRX
10.	In 2016, who was aware of the targets within this business?  A manager is someone who manages people and has employees directly reporting to them, with whom they meet regularly. Please include supervisors if they are responsible for managing staff and assessing their performance.	
	Non-managers are all other employees.  Please X one box for each column	
	Managers Non-managers  Non-managers	
	a. All	

 $\mathsf{MFV}$ 

1028

11.	In 2016, what were performance bonuses for managers and non-managers			
	usually based on within this business?  A site is, for example, a workshop, factory, warehouse, office, mine, depot or construction site where one or more persons carry out economic activity for a minimum of 20 hours per week and the planned activity for your business is	Please	X all that apply for each column	
	more than one year.	Managers	Non-managers	
	a. Their own performance as measured by targets	X	X 1029	
	b. Their team or shift performance as measured by targets	X	1030	
	c. Their site's performance as measured by targets	X	X 1031	
	d. The business' performance as measured by targets	X	1032	
	e. Performance bonuses were not related to targets	X	1033	
	f. No performance bonuses	X	X 1034	MF
	Section E - Employment practices			
12.	In 2016, how were managers and non-managers usually promoted within this business?			
	A <b>manager</b> is someone who manages people and has employees directly reporting to them, with whom they meet regularly. Please include supervisors if they are responsible for managing staff and assessing their performance. <b>Non-managers</b> are all other employees.	Please	one box for each column	
	managoro are an earlier employees.	Managers	Non-managers	
	a. Based solely on performance and ability	X	1035	
	b. Based partly on performance and ability and partly on other factors, such as length of service, restructuring	X	<b>X</b> 1036	
	c. Based mainly on factors other than performance and ability, such as length of service, restructuring	X	X 1037	
	d. None were promoted	X	1038	MF\
13.	In 2016, approximately what proportion of managers and non-managers within this business had a performance review?	Please	one box for each column	
		Managers	Non-managers	
	a. All	X	X 1039	
	b. More than half but not all	X	1040	
	c. Around half	X	X 1041	
	d. Some but fewer than half	X	1042	
	e. None	X	X 1043	MF\

	and non-managers undertaken within this business? Include: formal training and informal 'on the job' training.	Please	one box for each column	
		Managers	Non-managers	
	a. Less than a day	X	X 1044	
	·	X	X 1045	
	b. 1 day			
	c. 2 - 4 days	X	X 1046	
	d. 5 - 10 days	X	X 1047	
	e. More than 10 days	X	X 1048	MFW
15.	In 2016, what best describes the timeframe within which an action was take to address under-performance among managers and non-managers?  Examples: reassignment, demotion, dismissal, probation, training.		<b>X</b> one box for each column	
		Managers	Non-managers	
	a. Within 6 months of identifying under-performance	X	X 1049	
		Y	1050	
	b. After 6 months of identifying under-performance			
	c. No action was taken to address under-performance	X	1051	
	d. There was no under-performance	X	X 1052	MFV
16.	In 2016, who would normally make decisions over whether to recruit perm full-time employees?		se <b>X</b> one box only	
	a. Only the owner(s) and/or Managing Director (or equivalent)		X	
	b. Mostly the owner(s) and/or Managing Director, with some input from other e	employees	X	
	c. Jointly the owner(s) and/or Managing Director and other employees		X	
	e. Coming the owner(e) and or managing Director and other employees			

	Section F - Organisation	
17.	In 2016, did this business operate across multiple sites in Great Britain?  A site is, for example, a workshop, factory, warehouse, office, mine, depot or construction site where one or more persons carry out economic activity for a minimum of 20 hours per week and the planned activity for your business is more than one year.  Please new box only	
	a. Yes	1
	b. No Go to Section G	MRH
18.	In 2016, where were decisions made on whether to recruit permanent full-time employees for this business?	
	a. Only at individual sites	
	b. Only at head office	
	c. Both at individual sites and at head office	
	d. Other (please specify in the box below)	MRV
	1167	QVB
19.	In 2016, where were decisions on new service introductions made for this business? Please X one box only	
	a. Only at individual sites	
	X	
	b. Only at head office	
	c. Both at individual sites and at head office	
	d. No new service introductions made or considered in 2016	
	e. Other (please specify in the box below)	MRX
	1169	QVB
20.	In 2016, what was the maximum amount that could be used to purchase a fixed/capital asset for a site within this business without prior authorisation from head office?  Please provide an average across sites.	
	a. Under £1,000	
	b. £1,000 to £9,999.	
	c. £10,000 to £99,999.	
	d. £100,000 to £999,999	
	e. £1 million or more.	MRX

## **Section G - Current performance and future expectations**



- This page gives examples to help you complete the questions starting over the page, on Page 10.
- Please read this page before progressing with the questionnaire.
- Please report according to your best judgement. Estimates are acceptable.
- The following examples illustrate how a business could complete the type of questions asked in this section.

## The example below will help you to complete questions 22, 24, and 26

#### Example A:

Jane Smith is filling out this survey for Business A. In 2016, Business A had approximately £4,500,000 in turnover, with a forecast of £4,750,000 in 2017.

For calendar years 2016 and 2017, what are the <u>approximate</u> values of turnover, including exports and other receipts within this business? If applicable exclude freight charges, excise taxes and value added tax.

For 2016 calendar year	£		,	4	],[	5	0	0	],[	0	0	0
Forecast for 2017 calendar year	£		, _	4	],[	7	5	0	],[	0	0	0

## The example below will help you to complete questions 23, 25, 27 and 29

### Example B:

Jane also knows that turnover at Business A is forecast to grow approximately an additional 5% in 2018, with predicted annual value of turnover of £5 million. However, Jane knows there is some uncertainty with that forecast and that the value of turnover next year could be more or less than £5 million depending on consumer demand, changes in prices, and other uncertainties in the market. Given this uncertainty, Jane estimates that turnover will be between £2.8 million and £7.5 million, and thinks the likelihood of each scenario is as shown in the table below.

Looking ahead to the 2018 calendar year, what is the <u>approximate</u> value of <u>turnover</u> you would anticipate for this business in the following scenarios, <u>and</u> what <u>likelihood</u> do you assign to each scenario?

2018 scenarios, from lowest to highest	Approximate turnover in 2018	Percentage likelihood (values in this column should sum to 100)
LOWEST	£ 2,800,00	5 %
LOW	£ 4,200,000	1 0 %
MEDIUM	£ 5,000,000	6 0 %
HIGH	£ 6,300,000	2 0 %
HIGHEST	£ 7,500,000	5 %
	Total	1 0 0 %

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Your reporting period should cover the calendar year 2016. If no figures are available for that period, your return should relate to a business year that ends between **6th April 2016 and 5th April 2017**.

21. What are the dates of the 12 month period that you will be reporting for?

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From: 1164 To: 1165

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NFD

NFD

NFX

NFX

NFX

NFX

NFX

22. For calendar years 2016 and 2017, what are the <u>approximate</u> values of turnover, including exports and other receipts within this business?

If applicable exclude freight charges, excise taxes and value added tax.

Please refer to **Example A** on Page 9 if needed, which explains how this question should be completed.

23. Looking ahead to the 2018 calendar year, what is the <u>approximate</u> value of <u>turnover</u> you would anticipate for this business in the following scenarios, <u>and</u> what <u>likelihood</u> do you assign to each scenario?

Please refer to **Example B** on Page 9 if needed, which explains how this question should be completed.

2018 scenarios, from lowest to highest	Approximate turnover in 2018	Percentage likelihood (values in this column should sum to 100)
LOWEST	£	<b>%</b> 1089
LOW	£	<b>%</b> 1091
MEDIUM	£ , , , , , , , , , , , , , , , , , , ,	<b>%</b> 1093
HIGH	£ , , , , , , , , , , , , , , , , , , ,	<b>%</b> 1095
HIGHEST	£	<b>%</b> 1097
	Total	1 0 0 %

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24.	For calendar years 2016 and 2017, what are the approximate expenditures for this business on goods,
	raw materials, energy and services?

Include rents and utility bills and costs for the services of full or part-time contract or temporary staff on the payroll of an employment agency or another company. Exclude value added tax where applicable.

Please refer to **Example A** on Page 9 if needed, which explains how this question should be completed.

For 2016 calendar year	£		,				, [	0	0	0	1099
Forecast for 2017 calendar year	£		,[				, [	0	0	0	1100

NFD

NFD

NFX

NFX

NFX

NFX

NFX

25. Looking ahead to the 2018 calendar year, what are the <u>approximate</u> expenditures on <u>goods, raw</u> <u>materials, energy and services</u> you would anticipate for this business in the following scenarios, <u>and</u> what <u>likelihood</u> do you assign to each scenario?

Please refer to **Example B** on Page 9 if needed, which explains how this question should be completed.

2018 scenarios, from lowest to highest	Approximate cost of goods, raw materials, energy and services in 2018	Percentage likelihood (values in this column should sum to 100)
LOWEST	£,, 0 0 0 1101	<b>%</b> 1102
LOW	£	<b>%</b> 1104
MEDIUM	£	<b>%</b> 1106
HIGH	£	<b>%</b> 1108
HIGHEST	£	<b>%</b> 1110
	Total	1 0 0 %

26.	For calendar years 2016 and 2017, what are the approximate values of capital expenditure for new and
	used depreciable assets within this business?

Include buildings and other structures, machinery and equipment but exclude land. If applicable please exclude value added tax.

Please refer to **Example A** on Page 9 if needed, which explains how this question should be completed.

For 2016 calendar year	£		,[		,		 0 0 0	] 11	125
Foregoet for 2017, colorador visor	£						0 0 0	11	126

NFD

NFD

NFX

NFX

NFX

NFX

NFX

27. Looking ahead to the 2018 calendar year, what is the <u>approximate</u> value of <u>capital expenditure</u> you would anticipate for this business in the following scenarios, <u>and</u> what <u>likelihood</u> do you assign to each scenario?

Please refer to **Example B** on Page 9 if needed, which explains how this question should be completed.

2018 scenarios, from lowest to highest	Approximate value of capital expenditure in 2018	Percentage likelihood (values in this column should sum to 100)
LOWEST	£	<b>%</b> 1128
LOW	£	<b>%</b> 1130
MEDIUM	£	<b>%</b> 1132
HIGH	£	<b>%</b> 1134
HIGHEST	£	<b>%</b> 1136
	Total	1 0 0 %

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28.	For the following dates, what was the total number of employees (full-time plus part-time) on the payroll for
	this business?

Exclude full or part-time contract or temporary staff on the payroll of an employment agency or another company. Please report on the number of individual employees captured in this definition rather than full-time equivalent staff.

Number

	Number						
On 5th April 2016							1112
On 5th April 2017							1113

29. Looking ahead, approximately how many <u>employees</u> would you anticipate on this business' payroll as of 5th April 2018 in the following scenarios, <u>and</u> what <u>likelihood</u> do you assign to each scenario?

Please refer to **Example B** on Page 9 if needed, which explains how this question should be completed.

2018 scenarios, from lowest to highest	Approximate number of employees on payroll as of 5th April 2018	Percentage likelihood (values in this column should sum to 100)
LOWEST	1114	<b>%</b> 1115
LOW	1116	<b>%</b> 1117
MEDIUM	1118	<b>%</b> 1119
HIGH	1120	<b>%</b> 1121
HIGHEST	1122	% 1123
	Total	1 0 0 %

NBP

NBP

**NBP** 

NBP

**NBP** 

NBO

**NBO** 

30.	Please indicate what likelihood you would attach to the possible 2018 rates of UK economic growth
	(real growth rate of Gross Domestic Product) below.

Gross Domestic Product (GDP) is the main measure of the size of the UK economy, based on the value of goods and services produced during a given period.

UK Economic Gro	Percentage likelihood (values in this column should sum to 100)	
Strong decline	-4% or less	<b>%</b> 1138
Moderate decline	-2% to -3%	<b>%</b> 1139
Slight decline	-1%	<b>%</b> 1140
No change	0%	<b>%</b> 1141
Slight increase	1%	<b>%</b> 1142
Moderate increase	2% to 3%	<b>%</b> 1143
Strong increase	4% or more	<b>%</b> 1144
	Total	1 0 0 %

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NBT

NBT

**NBT** 

NBT

**NBT** 

NBT

**NBT** 

	can search 'Management Practices' at www.ons.gov.uk where you will find the methods used to produce the score, and calculate this independently.								
D	o you wish ONS to send your business its management practices score	e?			Plea	se 🗶	one	box	only
Υ	es, I would like to receive feedback					X	1	146	
٨	lo, I would prefer not to receive feedback					X	1	147	
v	Vhat year did you start working for this business?						1	148	
How long did it take you to complete this questionnaire?									
Please include:  the time taken to become familiar with the questionnaire  the time of everyone who helped you to complete the questionnaire  the time spent extracting and preparing information from your systems  any other time spent in relation to completing the questionnaire									
	Hours Minutes 1149 1150								
	If there were any external costs involved in completing this questionnaire, please report them below. Please include only external costs incurred in providing answers to this questionnaire.								
Α	ccountant/bookkeeper	£						Р	1151
		£						Р	1152
	lease use the space below to provide additional information about the a comments on the questionnaire.	answ	vers y	ou h	ave	sup	plied	and	l any
l۲	ncluding:								

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# 36. Contact details Please write the details of the person we should contact if we have any queries regarding the information returned on this questionnaire. Contact 1153 QVA Name Position in QVA business Telephone QVE Number Fax QVF Number E-mail QVI 1156 address 1158

Thank you for completing this questionnaire